#### **Abstract Execution**

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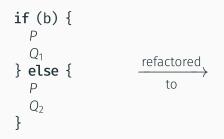
Software Engineering Group, Computer Science Department, TU Darmstadt This work was funded by the Hessian LOEWE initiative within the Software-Factory 4.0 project.

# **Abstract Execution**

```
//@ ensures \result >= 0;
public int abs(int a, int b) {
  if (a < b) {
    int tmp = a;
    a = b;
    b = tmp;
  }
  return a - b;
}
```

## Properties of Many Programs: Refactorings

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Testing

Show correctness of **one** program for **one** set of inputs

Testing	Show correctness of <b>one</b> program for <b>one</b> set of inputs
Program Proving	Show correctness of <b>one</b> program for <b>all</b> possible inputs

Testing	Show correctness of <b>one</b> program for <b>one</b> set of inputs
Program Proving	Show correctness of <b>one</b> program for <b>all</b> possible inputs
Abstract Program Proving	Show correctness of <b>all</b> programs for <b>all</b> possible inputs (matching a pattern).

Testing	Show correctness of <b>one</b> program for <b>one</b> set of inputs
Program Proving	Show correctness of <b>one</b> program for all possible inputs
Abstract Program Proving	Show correctness of <b>all</b> programs for <b>all</b> possible inputs (matching a pattern).

Abstract Programs =

Programs with Abstract Placeholder Statements (APSs)

# **Abstract Execution**

```
Inductive com : Type :=
```

```
\begin{array}{l} \text{CSkip:com} \\ \text{CAss:pvs} \rightarrow \texttt{aexp} \rightarrow \texttt{com} \\ \text{CSeq:com} \rightarrow \texttt{com} \rightarrow \texttt{com} \\ \text{CIf:bexp} \rightarrow \texttt{com} \rightarrow \texttt{com} \\ \text{CWhile:bexp} \rightarrow \texttt{com} \rightarrow \texttt{com}. \end{array}
```

Theorem evaluation\_deterministic:

```
\label{eq:cstst1} \begin{array}{l} \forall \ \mbox{c st st1 st2}, \\ \ \mbox{c $/ st $\setminus$ st1 $\rightarrow$ $\ \mbox{c $/ st$ $\setminus$ st2 $\rightarrow$ $\ \mbox{st1} = st2}. \end{array} Proof.
```

intros c st st1 st2 H1 H2. generalize dependent st2. induction H1.

- (\* E\_Skip \*) reflexivity.
- (\* E\_Ass \*) reflexivity.

- (\* ... \*)

- Frequently practiced in
  - pen-and-paper proofs and
  - interactive theorem provers like Isabelle and Coq (e.g., CompCert [Ler09] and CakeML [TMK<sup>+</sup>16])
- Precise second-order reasoning over program properties
- ...but very hard to automate!

#### Goal:

#### Goal: Automatic Reasoning

# Goal: Automatic Reasoning about Universal Properties of Abstract Programs

• Use Symbolic Execution with abstract state changes

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- Model irregular termination (exceptions, (labeled) breaks, (labeled) continues, returns)

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- Use Symbolic Execution with abstract state changes
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- Retain sufficient precision due to fine-grained specification language
- Case study: Correctness of refactoring techniques

# Specification of APSs + Symbolic Execution of APSs + Simplification of Abstract State Changes

# Specification of APSs + Symbolic Execution of APSs + Simplification of Abstract State Changes



Martin Fowler: Refactoring - Improving the Design of Existing Code. Addison-Wesley 1999

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
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}
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```

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

abstract\_statement P; abstract\_statement Init; if (b) { abstract\_statement Q1; } else { abstract\_statement Q2; }

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

abstract\_statement P;

}

```
abstract_statement Init;
if (b) {
```

```
abstract_statement Q1;
} else {
```

```
abstract_statement Q2;
```

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
abstract_statement Init; if (b) {
    if (b) {
        abstract_statement P;
        abstract_statement Q1; } else {
        abstract_statement P;
        abstract_statement Q2; }
}
```

```
b = x < 0;
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
b = x < 0;
if (b) {
    result = y/2;
} else {
    result = y/2;
}
```

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
b = x < 0; x = 42;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ assignable b;
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ assignable b;
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ assignable hasTo(b);
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ assignable hasTo(b);
abstract_statement Init;
if (b) {
    abstract_statement P;
    abstract_statement Q1;
} else {
    abstract_statement P;
    abstract_statement Q2;
}
```

```
b = x < 0;
if (b) {
    x = -1;
    x = -x + result;
} else {
    x = -1;
    x = x + result;
}
```

```
Object abstractMethod() {
    // ...
```

```
//@ assignable hasTo(b);
```

```
abstract_statement Init;
if (b) {
```

abstract\_statement P;

abstract\_statement Q1;
} else {

abstract\_statement P;

```
abstract_statement Q2;
```

}

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ declares final(args);
Object abstractMethod() {
    // ...
```

```
//@ assignable hasTo(b);
```

```
abstract_statement Init;
if (b) {
```

abstract\_statement P;

abstract\_statement Q1;
} else {

abstract\_statement P;

```
abstract_statement Q2;
```

}

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ declares final(args);
Object abstractMethod() {
    // ...
```

```
//@ assignable hasTo(b);
//@ accessible args;
abstract_statement Init;
if (b) {
    //@ assignable result;
```

```
abstract_statement P;
```

```
abstract_statement Q1;
} else {
    //@ assignable result;
```

```
abstract_statement P;
```

```
abstract_statement Q2;
```

}

```
//@ declares final(args);
Object abstractMethod() {
    // ...
```

```
//@ assignable hasTo(b);
//@ accessible args;
abstract_statement Init;
if (b) {
    //@ assignable result;
    //@ accessible result, args;
    abstract_statement P;
```

```
abstract_statement Q1;
} else {
    //@ assignable result;
    //@ accessible result, args;
    abstract_statement P;
```

```
abstract_statement Q2;
```

}

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ declares final(args);
Object abstractMethod() {
    // ...
```

```
//@ assignable hasTo(b);
//@ accessible args;
abstract statement Init;
if (b) {
    //@ assignable result;
    //@ accessible result, args;
    abstract_statement P;
    //@ assignable \everything;
    //@ accessible \everything:
    abstract statement Q1;
} else {
    //@ assignable result;
    //@ accessible result, args;
    abstract statement P;
    //@ assignable \everything;
    //@ accessible \everything;
    abstract statement Q2;
}
```

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

```
//@ declares final(args);
Object abstractMethod() {
    // ...
```

```
//@ assignable hasTo(b);
//@ accessible args;
abstract statement Init;
if (b) {
    //@ assignable result;
    //@ accessible result, args;
    abstract statement P;
    //@ assignable \everything;
    //@ accessible \everything:
    abstract statement Q1;
} else {
    //@ assignable result;
    //@ accessible result, args;
    abstract statement P;
    //@ assignable \everything;
    //@ accessible \everything;
    abstract statement Q2;
}
```

```
b = x < 0;
if (b) {
    result = y/2;
    x = -x + result;
} else {
    result = y/2;
    x = x + result;
}
```

#### **Prohibit Abrupt Completion Behavior**

- //@ return\_behavior requires false;
- //@ exceptional\_behavior requires false;
- //@ continue\_behavior requires false;
- //@ break\_behavior requires false;

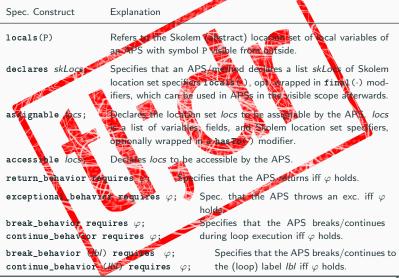
. . .

#### **Bind Abrupt Completion Behavior to Formula**

//@ return\_behavior requires returnsSpec; //@ exceptional\_behavior requires excSpec; //@ continue\_behavior requires contSpec; //@ break\_behavior requires breaksSpec;

. . .

### **Specification Constructs for APSs**



## Specification of APSs + Symbolic Execution of APSs + Simplification of Abstract State Changes

$$X = e;$$

## $[ \mathbf{x} = e; ]\phi$

$$\begin{array}{c} \{\mathsf{X} := e\} & ]\phi \\ \hline & [ \mathsf{X} = e; ]\phi \end{array} \end{array}$$

$$\{\mathbf{x} := e\} [\pi \ \omega] \phi$$
$$[\pi \ \mathbf{x} = e ; \ \omega] \phi$$

assignment 
$$\frac{\Gamma \Longrightarrow \{\mathcal{U}\}\{\mathbf{x} := e\}[\pi \ \omega]\phi, \Delta}{\Gamma \Longrightarrow \{\mathcal{U}\}[\pi \ \mathbf{x} = e \ \mathbf{;} \ \omega]\phi, \Delta}$$

## Symbolic Execution of a Conditional Statement (in JavaDL)

## [ **if** (e) $p_1$ **else** $p_2$ ] $\varphi$

## Symbolic Execution of a Conditional Statement (in JavaDL)

## $e \doteq \text{TRUE} \Longrightarrow [p_1] \varphi$

## [ **if** (e) $p_1$ **else** $p_2$ ] $\varphi$

## Symbolic Execution of a Conditional Statement (in JavaDL)

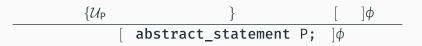
# $\begin{array}{c} e \doteq \mathrm{FALSE} \Longrightarrow & [ p_2 ] \varphi \\ \hline & [ \mathbf{if} (e) p_1 \mathbf{else} p_2 ] \varphi \end{array}$

# IfElseSplit $\begin{bmatrix} \Gamma, e \doteq \text{TRUE} \implies \{\mathcal{U}\}[\pi \ p_1 \ \omega]\varphi, \Delta \\ \Gamma, e \doteq \text{FALSE} \implies \{\mathcal{U}\}[\pi \ p_2 \ \omega]\varphi, \Delta \\ \hline \Gamma \implies \{\mathcal{U}\}[\pi \ \text{if (e)} \ p_1 \ \text{else} \ p_2 \ \omega]\varphi, \Delta$

## A Very Simple Symbolic Execution Rule for Abstract Execution

#### [ abstract\_statement P; ] $\phi$

### A Very Simple Symbolic Execution Rule for Abstract Execution



## $\{ \mathcal{U}_{\mathsf{P}}(allLocs :\approx allLocs) \} \qquad []\phi \\ [ abstract_statement P; ]\phi$

## $\{ \mathcal{U}_{\mathsf{P}}(\underline{allLocs} :\approx allLocs) \} \qquad []\phi$ [ abstract\_statement P; ] $\phi$

## $\{ \mathcal{U}_{\mathsf{P}}(allLocs :\approx allLocs) \} \qquad []\phi$ $[ abstract_statement P; ]\phi$

## $\{ \mathcal{U}_{\mathsf{P}}(allLocs :\approx allLocs) \} (\mathcal{C}_{\mathsf{P}}(allLocs) \rightarrow [ ]\phi )$ $[ abstract\_statement \mathsf{P}; ]\phi$

## $\{ \mathcal{U}_{\mathsf{P}}(allLocs :\approx allLocs) \} (\mathcal{C}_{\mathsf{P}}(allLocs) \rightarrow [ ]\phi )$ $[ abstract\_statement \mathsf{P}; ]\phi$

# $$\label{eq:simpleAERule} \begin{split} \underset{\Gamma \Longrightarrow \{\mathcal{U}\}\{\mathcal{U}_{\mathsf{P}}(\textit{allLocs} :\approx \textit{allLocs})\}(\mathsf{C}_{\mathsf{P}}(\textit{allLocs}) \rightarrow [\pi \; \omega]\phi), \Delta}{\Gamma \Longrightarrow \{\mathcal{U}\}[\pi \; \texttt{abstract\_statement P; } \omega]\phi, \Delta} \end{split}$$

## Towards a Soundness Notion: Instantiating Abstract Updates and Path Conditions

Abstract Symbol

Example Instantiation "Illegal"

Abstract Symbol	Example Instantiation	"Illegal"	
$\mathcal{U}_{\mathbb{P}}(a  Locs :\approx a  Locs)$			

Abstract Symbol	Example Instantiation	"Illegal"	
$\mathcal{U}_{ ext{P}}(allLocs:pprox allLocs)$	x := y + 1		

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(allLocs:pprox allLocs)$	x := y + 1	_

Abstract Symbol	Example Instantiation	"Illegal"
$egin{aligned} &\mathcal{U}_{ extsf{P}}(\textit{allLocs}:pprox \textit{allLocs}) \ &\mathcal{U}_{ extsf{Q}}( extsf{x}^{!}, extsf{y}:pprox  extsf{x}, extsf{z}) \end{aligned}$	$\mathbf{x} := \mathbf{y} + 1$	_

Abstract Symbol	Example Instantiation	"Illegal"
$egin{aligned} &\mathcal{U}_{\mathtt{P}}(\textit{allLocs}:pprox \textit{allLocs}) \ &\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y}:pprox \mathtt{x}, \mathtt{z}) \end{aligned}$	x := y + 1 x := x + 1    y := 12	_

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ extsf{P}}( extsf{allLocs}:pprox  extsf{allLocs}) \ \mathcal{U}_{ extsf{Q}}( extsf{x}^{!}, extsf{y}:pprox  extsf{x}, extsf{z})$	x := y + 1 x := x + 1    y := 12	 v := 12

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ extsf{P}}(allLocs:pprox allLocs)$	$\mathtt{x} := \mathtt{y} + 1$	_
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y}:pprox \mathtt{x}, \mathtt{z})$	x := x + 1    y := 12	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!, extsf{y}:pprox$ )		

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{\mathbb{P}}(\textit{allLocs}:pprox \textit{allLocs})$	$\mathbf{x} := \mathbf{y} + 1$	_
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y} :\approx \mathtt{x}, \mathtt{z})$	x := x + 1    y := 12	y := 12
$\mathcal{U}_{R}(\mathtt{x}^{!}, \mathtt{y}:pprox$ )	x := 1    y := 12	

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(allLocs:pprox allLocs)$	$\mathbf{x} := \mathbf{y} + 1$	_
$\mathcal{U}_{\tt Q}(\tt x^!, \tt y:\approx \tt x, \tt z)$	$\mathtt{x} := \mathtt{x} + 1     \mathtt{y} := 12$	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox$ )	x := 1    y := 12	x := x + 1    y := 12

Abstract Symbol	Example Instantiation	"Illegal"
$\begin{split} & \mathcal{U}_{P}(\textit{allLocs} :\approx \textit{allLocs}) \\ & \mathcal{U}_{Q}(x^{!}, y :\approx x, z) \end{split}$	x := x + 1    y := 12	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!, extsf{y}:pprox) \ \mathcal{C}_{ extsf{P}}( extsf{allLocs})$	x := 1    y := 12	x := x + 1    y := 12

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(allLocs:pprox allLocs)$	$\mathbf{x} := \mathbf{y} + 1$	
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y}:\approx \mathtt{x}, \mathtt{z})$	x := x + 1    y := 12	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox)$	x := 1    y := 12	x := x + 1    y := 12
$C_{\rm P}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(\textit{allLocs}:pprox \textit{allLocs})$	$\mathbf{x} := \mathbf{y} + 1$	
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y}:\approx \mathtt{x}, \mathtt{z})$	x := x + 1    y := 12	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox)$	x := 1    y := 12	x := x + 1    y := 12
$C_{\rm P}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	—

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(\textit{allLocs}:pprox \textit{allLocs})$	$\mathtt{x} := \mathtt{y} + 1$	_
$\mathcal{U}_{\tt Q}(\tt x^!, \tt y:\approx \tt x, \tt z)$	x := x + 1    y := 12	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox$ )	x := 1    y := 12	x := x + 1    y := 12
$C_{\mathbb{P}}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	—
$C_{P}(x, y, z)$		

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(\textit{allLocs}:pprox \textit{allLocs})$	$\mathtt{x} := \mathtt{y} + 1$	_
$\mathcal{U}_{\tt Q}(\tt x^!, \tt y:\approx \tt x, \tt z)$	x := x + 1    y := 12	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox$ )	x := 1    y := 12	x := x + 1    y := 12
$C_{\mathbb{P}}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	_
$C_{P}(x, y, z)$	$\mathtt{x} > \mathtt{0} \land \mathtt{x} < \mathtt{y}$	

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(allLocs:pprox allLocs)$	$\mathbf{x} := \mathbf{y} + 1$	_
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y} :\approx \mathtt{x}, \mathtt{z})$	$\mathtt{x} := \mathtt{x} + 1     \mathtt{y} := 12$	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox)$	x := 1    y := 12	x := x + 1    y := 12
$C_{\rm P}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	_
$C_{P}(x, y, z)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	xjw

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{P}(\textit{allLocs}:\approx\textit{allLocs})$	$\mathbf{x} := \mathbf{y} + 1$	_
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y} :\approx \mathtt{x}, \mathtt{z})$	$\mathtt{x} := \mathtt{x} + 1     \mathtt{y} := 12$	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox)$	x := 1    y := 12	x := x + 1    y := 12
$C_{\rm P}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	_
$C_{P}(x, y, z)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	xjw
$C_{\mathrm{P}}()$		

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{ ext{P}}(allLocs:pprox allLocs)$	$\mathbf{x} := \mathbf{y} + 1$	_
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y} :\approx \mathtt{x}, \mathtt{z})$	$\mathtt{x} := \mathtt{x} + 1     \mathtt{y} := 12$	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox$ )	x := 1    y := 12	x := x + 1    y := 12
$C_{\rm P}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	_
$C_{P}(x, y, z)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	xjw
$C_{\rm P}()$	true	

Abstract Symbol	Example Instantiation	"Illegal"
$\mathcal{U}_{P}(\textit{allLocs}:\approx\textit{allLocs})$	$\mathbf{x} := \mathbf{y} + 1$	_
$\mathcal{U}_{\mathtt{Q}}(\mathtt{x}^!, \mathtt{y} :\approx \mathtt{x}, \mathtt{z})$	$\mathtt{x} := \mathtt{x} + 1     \mathtt{y} := 12$	y := 12
$\mathcal{U}_{ extsf{R}}( extsf{x}^!,  extsf{y}:pprox)$	x := 1    y := 12	x := x + 1    y := 12
$C_{\rm P}(allLocs)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	_
$C_{P}(x, y, z)$	$\mathtt{x} > 0 \land \mathtt{x} < \mathtt{y}$	xjw
$C_{\rm P}()$	true	x¿O

**Definition (Legal Instantiations of Sequents)** A sequent is a legal instantiation if it results from substituting all updates  $U_P$ , path conditions  $C_P$  and APS symbols with legal instantiations.

It is valid iff all its legal instantiations are valid.

**Definition (Legal Instantiations of Sequents)** A sequent is a legal instantiation if it results from substituting all updates  $U_P$ , path conditions  $C_P$  and APS symbols with legal instantiations.

It is valid iff all its legal instantiations are valid.

**Definition (Standard Sequent Calculus Rule Validity)** A sequent calculus rule is **valid** if the validity of the **conclusion** is **implied by** the validity of the **premisses**.

$$\label{eq:simpleAERule} \begin{split} \frac{\Gamma \vdash \{\mathcal{U}\}\{\mathcal{U}_{P}(\textit{allLocs} :\approx \textit{allLocs})\}(C_{P}(\textit{allLocs}) \rightarrow [\pi \; \omega]\varphi), \Delta}{\Gamma \vdash \{\mathcal{U}\}[\pi \; \texttt{abstract\_statement} \; \mathsf{P}; \; \omega]\varphi, \Delta} \end{split}$$

### **Too restrictive**

Does not allow instantiations with irregular termination

$$\label{eq:simpleAERule} \begin{split} \frac{\Gamma \vdash \{\mathcal{U}\}\{\mathcal{U}_{P}(\textit{allLocs} :\approx \textit{allLocs})\}(C_{P}(\textit{allLocs}) \rightarrow [\pi \; \omega]\varphi), \Delta}{\Gamma \vdash \{\mathcal{U}\}[\pi \; \texttt{abstract\_statement} \; \; \mathsf{P}; \; \omega]\varphi, \Delta} \end{split}$$

### **Too restrictive**

Does not allow instantiations with irregular termination

## Too abstract

Abstract updates/path conditions may read/write from any location, no "has-to" assignables

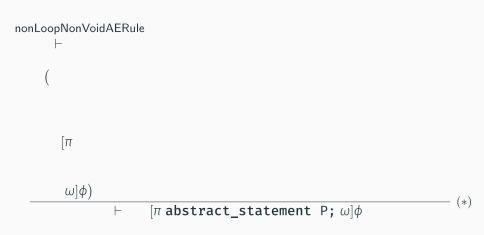
$$\label{eq:simpleAERule} \begin{split} \frac{\Gamma \vdash \{\mathcal{U}\}\{\mathcal{U}_{\mathbb{P}}(\textit{allLocs} :\approx \textit{allLocs})\}(C_{\mathbb{P}}(\textit{allLocs}) \rightarrow [\pi \; \omega]\varphi), \Delta}{\Gamma \vdash \{\mathcal{U}\}[\pi \; \texttt{abstract\_statement } \mathbb{P}; \; \omega]\varphi, \Delta} \end{split}$$

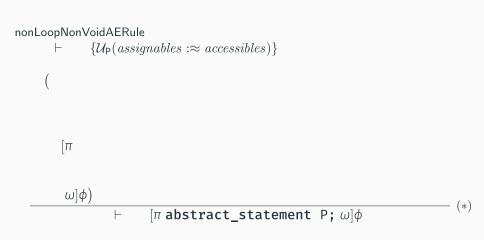
# A More Complex AE Rule

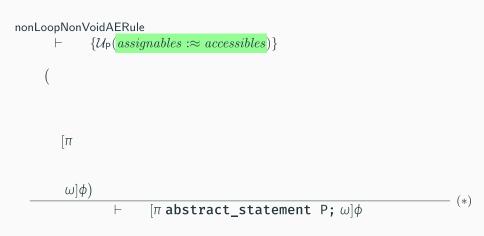
## $\vdash$ [ abstract\_statement P; ] $\phi$

nonLoopNonVoidAERule

 $\vdash$ 







- $\vdash \qquad \{\mathcal{U}_{\mathsf{P}}(\mathit{assignables} :\approx \mathit{accessibles})\}$
- $\begin{pmatrix} & C_{\mathsf{P}}(\mathit{accessibles}) \\ \end{pmatrix}$

 $\rightarrow [\pi$ 

$$\omega]\phi)$$

$$\vdash \quad [\pi \text{ abstract_statement } \mathsf{P}; \omega]\phi$$

 $\vdash \qquad \{\mathcal{U}_{\mathsf{P}}(\mathit{assignables} :\approx \mathit{accessibles})\}$ 

$$(C_{P}(accessibles))$$

$$\rightarrow [\pi$$

$$\frac{\omega]\phi}{\vdash [\pi \text{ abstract_statement P; }\omega]\phi}$$

- $\vdash \qquad \{\mathcal{U}_{\mathsf{P}}(\mathit{assignables} :\approx \mathit{accessibles})\}$
- $\begin{pmatrix} C_{P}(accessibles) \end{pmatrix}$

$$ightarrow$$
 [ $\pi$   
if (returns) return result;  
 $\omega ]\phi )$ 

 $\vdash$  [ $\pi$  abstract\_statement P;  $\omega$ ] $\phi$ 

 $\vdash \qquad \{\mathcal{U}_{\mathsf{P}}(\mathit{assignables} :\approx \mathit{accessibles})\}$ 

```
( C_{P}(accessibles)
```

$$\begin{array}{c} \rightarrow [\pi \\ \text{if (returns) return result;} \\ \text{if (exc != null) throw exc;} \\ \hline \\ \omega]\phi \\ \hline \\ \vdash \quad [\pi \text{ abstract\_statement P; } \omega]\phi \end{array} (*)$$

#### nonLoopNonVoidAERule

 $\vdash \qquad \{\mathcal{U}_{\mathsf{P}}(\mathit{assignables} :\approx \mathit{accessibles})\}$ 

-  $\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}$ 

```
 \begin{pmatrix} C_{P}(accessibles) \\ \land \neg (returns \land exc \neq null) \\ \land (returns \doteq TRUE \leftrightarrow returnsSpec)^{?} \\ \land (exc \neq null \leftrightarrow excSpec)^{?} \\ \rightarrow [\pi \\ if (returns) return result; \\ if (exc != null) throw exc; \\ \omega]\phi  (*)
```

 $\vdash \quad [\pi \text{ abstract\_statement } \mathsf{P}; \, \omega]\phi$ 

$$\vdash \{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\} \\ \{\texttt{returns} := returns_{0} || \texttt{result} := result_{0} || \texttt{exc} := exc_{0}\} \\ ( C_{\mathsf{P}}(accessibles) \\ \land \neg(\texttt{returns} \land \texttt{exc} \neq \texttt{null}) \\ \land (\texttt{returns} \doteq \texttt{TRUE} \leftrightarrow returnsSpec)^{?} \\ \land (\texttt{exc} \neq \texttt{null} \leftrightarrow excSpec)^{?} \\ \rightarrow [\pi \\ \texttt{if}(\texttt{returns}) \texttt{return} \texttt{result}; \\ \texttt{if}(\texttt{exc} != \texttt{null}) \texttt{throw} \texttt{exc}; \\ \omega]\phi)$$
(\*)

 $\vdash \qquad [\pi \text{ abstract\_statement } \mathsf{P}; \ \omega]\phi$ 

```
nonLoopNonVoidAERule
       \Gamma \vdash \{\mathcal{U}\}\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}
                  {returns := returns_0 || result := result_0 || exc := exc_0}
       (C_{\mathsf{P}}(accessibles))
         \land \neg (returns \land exc \neq null)
         \land (returns \doteq TRUE \leftrightarrow returnsSpec)?
         \land (exc \neq null \leftrightarrow excSpec)<sup>?</sup>
       \rightarrow [\pi
               if (returns) return result:
              if (exc != null) throw exc;
            \omega]\phi, \Delta
                     \Gamma \vdash {\mathcal{U}}[\pi \text{ abstract statement } \mathsf{P}; \omega]\phi, \Delta
```

Specification of APSs + Symbolic Execution of APSs + Simplification of Abstract State Changes

# Three Categories of Abstract Update Simplification Rules

# 1. Removal of **ineffective** (assignables in) updates (1 rule)

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- 2. Interplay between concrete and abstract updates (2 rules)

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- 2. Interplay between concrete and abstract updates (2 rules)
- 3. Abstract update concatenation and permutation (2 rules)

# **Case Study: Correctness of Refactoring Rules**

CONSOLIDATE DUPLICATE CONDITIONAL FRAGMENTS

### Consolidate Duplicate Conditional Fragments

The same fragment of code is in all branches of a conditional expression. Move it outside of the expression.



Consolidate Duplicate Conditional Fragments

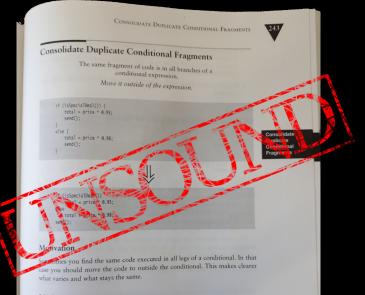
if (isSpecialDeal())
 total = price \* 0.95;
else
 total = price \* 0.98;
send();

#### Motivation

Sometimes you find the same code executed in all legs of a conditional. In that case you should move the code to outside the conditional. This makes clearer what varies and what stays the same.

#### Mechanics

- Identify code that is executed the same way regardless of the condition.
- If the common code is at the beginning, move it to before the conditional.
- If the common code is at the end, move it to after the conditional.



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### Analyzing and Proving Refactoring Techniques with Abstract Execution: Methodology

1. Create **refactoring model:** Two **abstract programs** (before / after refactoring) with minimal specification

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  - Proof closed  $\implies$  Modeled refactoring correct

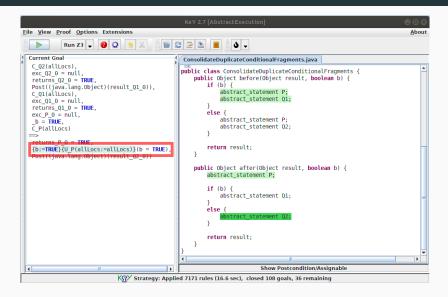
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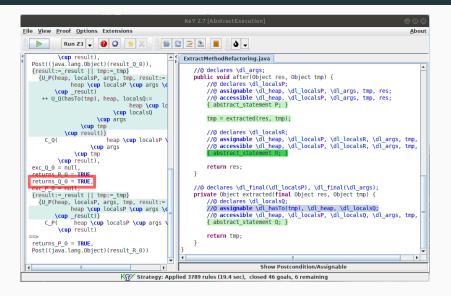
- 3. Start automatic proof
  - Proof closed 

     Modeled refactoring correct
  - Open goals  $\implies$  Inspect proof, maybe adapt model

### Proof Inspection: Imprecise I/O Specifications



### **Proof Inspection: Missing Irregular Termination Specifications**



• Proved correctness of models for 8 refactorings:

(1) Consolidate Duplicate Conditional Fragments (four variants), (2)
 Decompose Conditional, (3) Extract Method, (4) Replace Exception with
 Test, (5) Move Statements to Callers, (6) Slide Statements, (7) Split
 Loop, (8) Remove Control Flag

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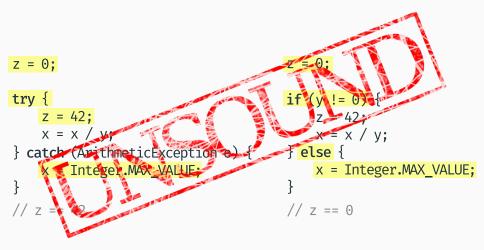
- Elicitation of non-trivial behavioral restrictions not mentioned in literature for 10 out of 11 studied models
- Automatic proofs for loop-free problems, small proof scripts for problems with loops (coupling)

Z = 0; try { z = 42; x = x / y; } catch (ArithmeticException e) { x = Integer.MAX\_VALUE; } Z = 0; if (y != 0) { z = 42; x = x / y; } else { x = Integer.MAX\_VALUE; }

z = 0; try { z = 42; x = x / y; } catch (ArithmeticException e) { x = Integer.MAX\_VALUE; } z = 0; if (y != 0) { z = 42; x = x / y; } else { x = Integer.MAX\_VALUE; }

z = 0;z = 0;try { **if** (y != 0) { z = 42;z = 42; x = x / y;x = x / y;} else { } catch (ArithmeticException e) { x = Integer.MAX VALUE;x = Integer.MAX VALUE;} } // 7 == 42 // 7 == 0

# Example: Replace Exception with Test Lets "fix" the refactoring!



### Example: Replace Exception with Test "Roll back" to a common program state.

z = 0;z = 0;try { if (y != 0) { z = 42; z = 42; x = x / y;x = x / y;} catch (ArithmeticException e) { } else { x = Integer.MAX VALUE;x = Integer.MAX VALUE;} } // 7 == 42 // 7 == 0

### Example: Replace Exception with Test "Roll back" to a common program state.

z = 0: z = 0;try { if (v != 0) { z = 42; z = 42; x = x / y;x = x / y;} catch (ArithmeticException e) { } else { z = 0; x = 0;z = 0; x = 0;x = Integer.MAX VALUE;x = Integer.MAX VALUE;} }

### Example: Replace Exception with Test "Roll back" to a common program state.

# **Future Work & Conclusion**





- Increase support for heap-related properties (ongoing)
- Better automation for problems with loops

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- Apply to structurally different (e.g., iterative vs. recursive) & concurrent programs

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  - Correctness-by-construction (cooperation ongoing)

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  - Compilation (formal foundations already established)

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- Better automation for problems with loops
- Apply to structurally different (e.g., iterative vs. recursive) & concurrent programs
- Apply to different target areas:
  - Correctness-by-construction (cooperation ongoing)
  - Compilation (formal foundations already established)
  - **Optimization / Parallelization** (cooperation started)

• Abstract Execution:

Automatic proofs of abstract programs

abstract\_program P;

- Abstract Execution: Automatic proofs of abstract programs
- Precise specification of input/output and irregular termination behavior

//@ assignable x;

- Abstract Execution: Automatic proofs of abstract programs
- **Precise specification** of input/output and irregular termination behavior

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• Core idea: 2nd-order Skolemization

 $\mathcal{U}_{P}(x :\approx y, z)$ 

- Abstract Execution: Automatic proofs of abstract programs
- **Precise specification** of input/output and irregular termination behavior
- Core idea: 2nd-order Skolemization
- Implemented for the KeY framework

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 $\mathcal{U}_{P}(\mathtt{x}:=\mathtt{y},\mathtt{z})$ 

R

- Abstract Execution: Automatic proofs of abstract programs
- **Precise specification** of input/output and irregular termination behavior
- Core idea: 2nd-order Skolemization
- Implemented for the KeY framework
- Case Study: Correctness of Java refactoring techniques

//@ assignable x;

 $\mathcal{U}_{P}(x :\approx y, z)$ 

#### References

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- Martin Fowler, Refactoring: Improving the Design of Existing Code, Object Technology Series, Addison-Wesley, June 1999.
- Xavier Leroy, Formal Verification of a Realistic Compiler, Communications of the ACM **52** (2009), no. 7, 107–115.

 Yong Kiam Tan, Magnus O. Myreen, Ramana Kumar, Anthony Fox, Scott Owens, and Michael Norrish, A New Verified Compiler Backend for CakeML, Proc. 21st Intern. Conf. on Functional Programming, ACM, 2016, pp. 60–73.

# **Properties of Concrete Programs: Relational Verification**

```
//@ requires a != 0 && b != 0;
public int abs1(int a, int b) {
    if (a < b) {
        int tmp = a;
        a = b;
        b = tmp;
    }
    return a - b;
}</pre>
```

# **Properties of Concrete Programs: Relational Verification**

```
//@ requires a != 0 & b != 0; //@ requires a != 0 & b != 0;
public int abs1(int a, int b) { public int abs2(int a, int b) {
  if (a < b) {
    int tmp = a;
    a = b;
    b = tmp;
  return a - b;
                                 }
```

```
if (a < b) {
  a = a ^ b;
  b = a^{h} b;
 a = a ^ b:
return a - b;
```

# **Properties of Concrete Programs: Relational Verification**

```
//@ requires a != 0 & b != 0; //@ requires a != 0 & b != 0;
  if (a < b) {
    int tmp = a;
   a = b;
   b = tmp;
  return a - b;
```

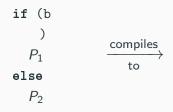
```
public int abs1(int a, int b) { public int abs2(int a, int b) {
                                    if (a < b) {
                                     a = a ^ b;
                                      b = a ^ b:
                                     a = a ^ b;
                                    return a - b;
```

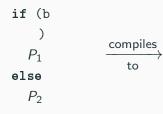
```
}
```

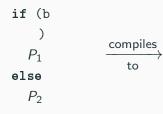
# Properties of Concrete Programs: Information Flow Security

```
// low: OK, userInput | high: pin
public void checkPIN(int userInput) {
    if (pin == userInput) {
        OK = true;
    } else {
        OK = false;
    }
}
```

if (b ) P1 else P2







Properties of Many Programs: Correctness-by-Construction (CbC)

# Properties of Many Programs: Correctness-by-Construction (CbC)

# Properties of Many Programs: Correctness-by-Construction (CbC)

Properties of Many Programs: General Security Properties

# // low: OK, userInput | high: pin public void checkPIN(int userInput) { P

```
OK = false;
userInput = null;
}
```

```
[
abstract_statement P;
]\phi
```

```
[
\bigcirc_{x} abstract_statement P; Rest_{1,x} \bigcirc
]\phi
```

 $\begin{bmatrix} l_1 : \{ \cdots \{ l_n : \{ \bigcirc_{\mathbf{x}} abstract\_statement \ \mathsf{P}; \mathit{Rest}_1 \ _{\mathbf{x}} \circlearrowleft \mathit{Rest}_2 \\ \} \} \cdots \} ] \phi$ 

$$\begin{bmatrix} l_{1}: \{ \cdots \in l_{n}: \{ \\ \bigcirc_{x} \end{bmatrix} \\ Rest_{1} \times \bigcirc \\ Rest_{2} \} \} \cdots \} ]\phi$$

$$\begin{bmatrix} l_{1}: \{ \cdots \in l_{n}: \{ \\ \bigcirc_{x} abstract\_statement P; Rest_{1} \times \bigcirc Rest_{2} \\ \} \cdots \} ]\phi$$

#### A Complex AE Rule in a Loop Context

 $\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}$ 

$$\begin{bmatrix} l_{1}: \{\cdots \in l_{n}: \{ \\ \circlearrowright_{x} \end{bmatrix} \\ Rest_{1} \xrightarrow{0} \\ Rest_{2} \xrightarrow{} \\ \end{bmatrix} \phi \\ \begin{bmatrix} l_{1}: \{\cdots \in l_{n}: \{ \\ \circlearrowright_{x} abstract\_statement P; Rest_{1} \xrightarrow{0} Rest_{2} \\ \\ \\ \\ \\ \end{bmatrix} \cdots \xrightarrow{} \\ ]\phi \end{bmatrix}$$

#### A Complex AE Rule in a Loop Context

 $\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}$ 

#### $\begin{pmatrix} C_{\mathsf{P}}(accessibles) \end{pmatrix}$

$$\rightarrow \begin{bmatrix} l_1:1\cdots l_n:l\\ \circlearrowright_{\mathsf{x}} \\ Rest_1 & {}_{\mathsf{x}} \circlearrowright \\ Rest_2 \end{bmatrix} \phi$$

$$\begin{bmatrix} l_1:\{\cdots \{l_n:\{ \\ \circlearrowright_{\mathsf{x}} \text{ abstract\_statement } \mathsf{P}; Rest_1 & {}_{\mathsf{x}} \circlearrowright Rest_2 \\ \} \end{bmatrix} \cdots \end{bmatrix} ] \phi$$

#### A Complex AE Rule in a Loop Context

 $\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}$ 

 $\begin{pmatrix} C_{P}(accessibles) \end{pmatrix}$ 

```
 \begin{array}{c|c} \rightarrow [ & l_1: \{\cdots \{ \ l_n: \{ \\ & \bigcirc_{\mathsf{x}} \text{ if (returns) return result; if (exc != null) throw exc;} \\ & \text{ if (breaks) break;} & \text{ if (continues) continue;} \\ & \text{ if (breaksToLbl_1) break } l_1; & \cdots & \text{ if (breaksToLbl_n) break } l_n; \\ & & Rest_1 \ _{\mathsf{x}^{\bigcirc}} \\ & & Rest_2 \ \} \cdots \} \ ]\phi \\ \hline & & \begin{bmatrix} \ l_1: \{\cdots \{ l_n: \{ \\ & \bigcirc_{\mathsf{x}} \ abstract\_statement \ \mathsf{P}; \ Rest_1 \ _{\mathsf{x}^{\bigcirc}} \ Rest_2 \\ & & \} \cdots \} \ ]\phi \end{array}
```

 $\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}$ 

```
( C_{P}(accessibles)
```

```
\land (returns = TRUE \leftrightarrow returnsSpec)^? \land (exc \neq null \leftrightarrow excSpec)^? \\\land (breaks \doteq TRUE \leftrightarrow breaksSpec)^? \\\land (continues \doteq TRUE \leftrightarrow continuesSpec)^?
```

```
\wedge (breaksToLbl 1 \doteq TRUE \leftrightarrow breaksLbl1Spec)? \wedge \cdots
```

```
\land (breaksToLbl_n \doteq TRUE \leftrightarrow breaksLblnSpec)^?
```

```
\rightarrow [ l_1: \{ \cdots \{ l_n: \{
```

```
▷<sub>x</sub> if (returns) return result; if (exc != null) throw exc;
```

if (breaks) break; if (continues) continue; if (breaksToLbl 1) break l<sub>1</sub>; ··· if (breaksToLbl n) break l<sub>n</sub>;

```
\begin{array}{c} Rest_{1} \times \bigcirc \\ Rest_{2} \} \cdots \} \quad ]\phi \end{array}
```

```
\begin{bmatrix} l_1: \{\cdots \{l_n: \{ \bigcirc_{\mathbf{x}} abstract\_statement \mathsf{P}; Rest_1 \ \mathbf{x} \bigcirc Rest_2 \\ \} \} \cdots \} ] \phi
```

 $\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}$ 

```
C_{P}(accessibles)
 \land mutex (returns, exc \neq null, breaksToLbl_1, ..., breaksToLbl_n)
  \land (\texttt{returns} \doteq \text{TRUE} \leftrightarrow returnsSpec})^? \land (\texttt{exc} \neq \texttt{null} \leftrightarrow excSpec})^?
 \land (\texttt{breaks} \doteq \text{TRUE} \leftrightarrow \textit{breaksSpec})^?
 \land (\texttt{continues} \doteq \text{TRUE} \leftrightarrow \textit{continuesSpec})^?
  \land (breaksToLbl 1 \doteq TRUE \leftrightarrow breaksLbl1Spec)? \land \cdots
 \land (breaksToLbl. n \doteq TRUE \leftrightarrow breaksLblnSpec)?
O<sub>x</sub> if (returns) return result; if (exc != null) throw exc;
             if (breaks) break; if (continues) continue;
             if (breaksToLbl 1) break l_1; ... if (breaksToLbl n) break l_n;
             Rest_1  , \circlearrowleft
     Rest_2 } \cdots } |\phi\rangle
                                 [ l_1 : \{ \dots \} l_n : \{
                          O<sub>x</sub> abstract_statement P; Rest<sub>1</sub> x ○ Rest<sub>2</sub>
                        \} \} ]\phi
```

### A Complex AE Rule in a Loop Context

```
\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}
         \{ \texttt{returns} := returns_0 || \texttt{result} := result_0 || \texttt{exc} := exc_0 ||
          breaks := breaks_0 || continues := continues_0 ||
          breaksToLbl 1 := breaksToLabel1_0 || \cdots ||
          breaksToLbl n := breaksToLabeln_0
    C_{P}(accessibles)
 \land mutex (returns, exc \neq null, breaksToLbl_1, ..., breaksToLbl_n)
 \land (\texttt{returns} \doteq \text{TRUE} \leftrightarrow \textit{returnsSpec})^? \land (\texttt{exc} \neq \texttt{null} \leftrightarrow \textit{excSpec})^?
 \land (breaks \doteq TRUE \leftrightarrow breaksSpec)?
 \land (continues \doteq TRUE \leftrightarrow continuesSpec)?
 \land (breaksToLbl 1 \doteq TRUE \leftrightarrow breaksLbl1Spec)? \land \cdots
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O<sub>x</sub> if (returns) return result; if (exc != null) throw exc;
            if (breaks) break; if (continues) continue;
            if (breaksToLbl 1) break l_1; ... if (breaksToLbl n) break l_n;
            Rest_1  , \circlearrowleft
     Rest_2 } \cdots } |\phi\rangle
                                [ l_1 : \{ \dots \} l_n : \{
                         O<sub>x</sub> abstract_statement P; Rest<sub>1</sub> , O Rest<sub>2</sub>
                       \} ]\phi
```

### A Complex AE Rule in a Loop Context

```
nonVoidLoopAERule
      \Gamma \vdash \{\mathcal{U}\}\{\mathcal{U}_{\mathsf{P}}(assignables :\approx accessibles)\}
                 \{ \texttt{returns} := returns_0 || \texttt{result} := result_0 || \texttt{exc} := exc_0 ||
                   breaks := breaks_0 || continues := continues_0 ||
                   breaksToLbl 1 := breaksToLabel1_0 || \cdots ||
                   breaksToLbl n := breaksToLabeln_0
           C_{P}(accessibles)
        \land mutex (returns, exc \neq null, breaksToLbl_1, ..., breaksToLbl_n)
        \land (\texttt{returns} \doteq \text{TRUE} \leftrightarrow \textit{returnsSpec})^? \land (\texttt{exc} \neq \texttt{null} \leftrightarrow \textit{excSpec})^?
        \land (breaks \doteq TRUE \leftrightarrow breaksSpec)?
        \land (continues \doteq TRUE \leftrightarrow continuesSpec)?
        \land (breaksToLbl 1 \doteq TRUE \leftrightarrow breaksLbl1Spec)? \land \cdots
        \land (breaksToLbl, n \doteq TRUE \leftrightarrow breaksLblnSpec)^?
      \rightarrow [\pi \quad l_1: \{ \cdots \{ \quad l_n: \{ 
               O<sub>x</sub> if (returns) return result; if (exc != null) throw exc;
                    if (breaks) break; if (continues) continue;
                    if (breaksToLbl 1) break l_1; ... if (breaksToLbl n) break l_n;
                    Rest_1  , \circlearrowleft
            Rest<sub>2</sub> }  \cdots  }  \omega ] \phi ) , \Delta 
                                \Gamma \vdash \{\mathcal{U}\}[\pi \ l_1 : \{\cdots \{l_n : \{
                                   O<sub>x</sub> abstract_statement P; Rest<sub>1</sub> , O Rest<sub>2</sub>
                                \{\} ... \} \omega ] \phi. \Delta
```

### Handling Programs with Loops:

 $\{\mathcal{U}\}$ [while(*expr*) *body*]  $\varphi$ 

Handling Programs with Loops: Use Loop Invariant Reasoning

#### loopInvariantAE

### $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}] \varphi$

Handling Programs with Loops: Prove that the invariant holds in the initial state...

 $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\} \mathit{Inv} \end{array}$ 

(initially valid)

 $\vdash {\mathcal{U}}[while(expr) body] \varphi$ 

Handling Programs with Loops: Prove that the invariant holds in the initial state...

 $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\} \underline{\mathit{Inv}} \end{array}$ 

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Handling Programs with Loops: Prove that the invariant holds in the initial state...

 $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash {\mathcal{U}} Inv \end{array}$ 

(initially valid)

 $\vdash {\mathcal{U}}[while(expr) body] \varphi$ 

Handling Programs with Loops: ...and is inductive and strong enough for the post condition

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv \\ \vdash \end{array}$

### (initially valid) (preserved & use case)

### $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}] \varphi$

### Handling Programs with Loops: Reason about an arbitrary iteration by anonymization

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv \\ \vdash \{\mathcal{U}'\} \end{array}$

(initially valid) (preserved & use case)

 $\vdash \{\mathcal{U}\}[\texttt{while}(expr) \ body] \ \varphi$ 

### Handling Programs with Loops: Assume the invariant holds before an arbitrary run...

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\} \mathit{Inv} \\ \vdash \{\mathcal{U}'\} \left( \textit{Inv} \rightarrow \right. \end{array}$

(initially valid) (preserved & use case)

 $\vdash \{\mathcal{U}\}[\texttt{while}(expr) \ body] \ \varphi$ 

$$\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\} Inv & \text{(initially valid)} \\ \vdash \{\mathcal{U}'\} \left( Inv \rightarrow [\ldots] & \text{(preserved \& use case)} \\ ( \end{array} \right)$$

 $\vdash \{\mathcal{U}\}[\texttt{while}(expr) body] \varphi$ 

$$\begin{array}{c} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv & (\text{initially valid}) \\ \vdash \{\mathcal{U}'\} \left(Inv \rightarrow [\ldots] & (\text{preserved & use case}) \\ ( \\ (loopContinues \rightarrow (Inv & ))) \right) \\ \hline \vdash \{\mathcal{U}\}[\mathsf{while}(expr) \ body] \ \varphi \end{array}$$

$$\begin{array}{c} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv & (\text{initially valid}) \\ \vdash \{\mathcal{U}'\} \left(Inv \rightarrow [\ldots] & (\text{preserved & use case}) \\ ( \\ \hline (loopContinues \rightarrow (Inv & ))) \right) \\ \hline \vdash \{\mathcal{U}\}[\mathsf{while}(exnr), bodu] (0 \end{array}$$

$$\begin{array}{c} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv & (\text{initially valid}) \\ \vdash \{\mathcal{U}'\} \left(Inv \rightarrow [\ldots] & (\text{preserved & use case}) \\ ( \\ \hline (loopContinues \rightarrow (Inv & ))) \right) \\ \hline \vdash \{\mathcal{U}\}[\mathsf{while}(expr) \ body] \ \varphi \end{array}$$

Handling Programs with Loops: Use the invariant when proving the post condition ("use case")

#### 

 $\vdash \{\mathcal{U}\}[\texttt{while}(expr) \ body] \ \varphi$ 

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv & (\text{initially valid}) \\ \vdash \{\mathcal{U}'\} \left(Inv \rightarrow [\ldots] & (\text{preserved & use case}) \\ ((loopExited \quad \rightarrow \varphi[\textit{Post(result, TRUE})]) \land \\ (loopContinues \rightarrow (Inv \quad )))) \end{array}$

 $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}](\varphi[Post(\texttt{result}, \text{TRUE})])$ 

### 

 $\vdash \{\mathcal{U}\}[\text{while}(expr) body](\varphi[Post(result, TRUE)])$ 

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv & (\text{initially valid}) \\ \vdash \{\mathcal{U}'\} \left(Inv \rightarrow [\ldots] & (\text{preserved & use case}) \\ ((loopExited \quad \rightarrow \varphi[Post(\texttt{result}, \text{TRUE})]) \land \\ (loopContinues \rightarrow (Inv \quad )))) \right) \end{array}$

 $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}](\varphi[Post(\texttt{result}, \text{TRUE})])$ 

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv & (\text{initially valid}) \\ \vdash \{\mathcal{U}'\} \left(Inv \rightarrow [\ldots] & (\text{preserved & use case}) \\ ((loopExited \quad \rightarrow \varphi[Post(\texttt{result},\texttt{TRUE})]) \land \\ (loopContinues \rightarrow (Inv \quad \quad )))) \right) \end{array}$

 $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}](\varphi[Post(\texttt{result}, \texttt{TRUE})])$ 

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\} \mathit{Inv} & (initially valid) \\ \vdash \{\mathcal{U}'\} \left( \mathit{Inv} \rightarrow [\ldots] & (preserved \& use case) \\ ((\mathit{loopExited} \rightarrow \varphi[\mathit{Post}(\texttt{result}, \mathrm{TRUE})]) \land \\ (\mathit{loopContinues} \rightarrow (\mathit{Inv} \land \varphi[\mathit{Post}(\texttt{result}, \mathrm{FALSE})]))) \end{array} \right)$

 $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}](\varphi[Post(\texttt{result}, \text{TRUE})])$ 

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\} \mathit{Inv} & (initially valid) \\ \vdash \{\mathcal{U}'\} \left( \mathit{Inv} \rightarrow [\ldots] & (preserved \& use case) \\ ((\mathit{loopExited} \rightarrow \varphi[\mathit{Post}(\texttt{result}, \mathrm{TRUE})]) \land \\ (\mathit{loopContinues} \rightarrow (\mathit{Inv} \land \varphi[\mathit{Post}(\texttt{result}, \texttt{FALSE})]))) \right) \end{array}$

 $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}](\varphi[\textit{Post}(\texttt{result}, \texttt{TRUE})])$ 

### Handling Programs with Loops: + Scripted Loop Coupling, Iteration Structure Harmonization

# $\begin{array}{l} \mathsf{loopInvariantAE} \\ \vdash \{\mathcal{U}\}Inv & (\text{initially valid}) \\ \vdash \{\mathcal{U}'\} \left(Inv \rightarrow [\ldots] & (\text{preserved \& use case}) \\ ((loopExited \quad \rightarrow \varphi[Post(\texttt{result}, \text{TRUE})]) \land \\ (loopContinues \rightarrow (Inv \land \varphi[Post(\texttt{result}, \text{FALSE})]))) \end{array} \right)$

 $\vdash \{\mathcal{U}\}[\texttt{while(expr) body}](\varphi[Post(\texttt{result}, \text{TRUE})])$ 

## ${x := y}[z=x;](z \doteq y)$

## $\{x:=y\}\{z:=x\}[\ ](z\doteq y)$

### $\{x:=y\}\{z:=x\}(z\doteq y)$

### $\{x := y \, || \, \{x := y\}z := x\}(z \doteq y)$

### $\{x := y \mid \mid z := \{x := y\}x\}(z \doteq y)$

### $\{x:=y\,||\,z:=y\}(z\doteq y)$

## $\{z:=y\}(z\doteq y)$

### $\{z:=y\}z\doteq\{z:=y\}y$

### $y \doteq \{z := y\}y$

 $y \doteq y$ 

y≐y ✓

### $\{\mathcal{U}_{P}(\mathtt{x}, \mathtt{y}:\approx \mathtt{x})\}(\mathtt{x}>17)$

### $\{\mathcal{U}_{P}(\mathtt{x}:\approx\mathtt{x})\}(\mathtt{x}>17)$

### $\{\mathcal{U}_{P}(\mathtt{x}, \mathtt{y}:\approx \mathtt{x})\}(\mathtt{z}>17)$

### (1) Removal of Ineffective Abstract Updates

#### z > 17

$${x := 17 || y := z}$$

$$\{\mathtt{x}:=17\,||\,\mathtt{y}:=\mathtt{z}\}\{\mathcal{U}_{\mathtt{P}}(\mathtt{x}:\approx\mathtt{x},\mathtt{y})\}$$

$$\{\mathtt{x}:=17\,||\,\mathtt{y}:=\mathtt{z}\}\{\mathcal{U}_{\mathtt{P}}(\mathtt{x}:\approx\mathtt{x},\mathtt{y})\}(\mathtt{z}>0)$$

$$\{x := 17\} \{ \mathcal{U}_P(x :\approx 17, z) \} \qquad (z > 0)$$

$$\{{\tt x}:=17\}\{\mathcal{U}_P({\tt x}:\approx 17,{\tt z})\}\{{\tt y}:={\tt z}\}({\tt z}>0)$$

$$\{x:=17\}\{\mathcal{U}_P(x^!:\approx 17,z)\}\{y:=z\}(z>0)$$

$$\{\mathcal{U}_P(\mathtt{x}^!:\approx 17,\mathtt{z})\}\{\mathtt{y}:=\mathtt{z}\}(\mathtt{z}>0)$$

# Three categories of Abstract Update Simplification Rules (2.2) Application of Abstract on Concrete Updates

 $\{\mathcal{U}_{P}(\mathtt{y}^{!}:\approx\mathtt{z})\}\{\mathtt{x}:=\mathtt{y}\}\varphi(\mathtt{x})$ 

# Three categories of Abstract Update Simplification Rules (2.2) Application of Abstract on Concrete Updates

 $\{\mathcal{U}_{\mathbb{P}}(\mathtt{x}^!:\approx\mathtt{z})\}\varphi(\mathtt{x})$ 

# Three categories of Abstract Update Simplification Rules (3.1) Application of Abstract on Abstract Updates

### $\{\mathcal{U}_{\mathsf{P}}(\mathtt{x}:\approx\mathtt{y})\}\{\mathcal{U}_{\mathsf{Q}}(\mathtt{z}:\approx\mathtt{w})\}\varphi$

# Three categories of Abstract Update Simplification Rules (3.1) Application of Abstract on Abstract Updates

### $\{\mathcal{U}_{\mathbb{P}}(\mathtt{x}:\mathtt{x},\mathtt{y})\circ\mathcal{U}_{\mathbb{Q}}(\mathtt{z}:\mathtt{x},\mathtt{w})\}\varphi$

# Three categories of Abstract Update Simplification Rules (3.2) Permutation of Abstract Updates in Concatenations

### $\{\mathcal{U}_{\mathbb{P}}(\mathtt{x}:\mathtt{x},\mathtt{y})\circ\mathcal{U}_{\mathbb{Q}}(\mathtt{z}:\mathtt{x},\mathtt{w})\}\varphi$

# Three categories of Abstract Update Simplification Rules (3.2) Permutation of Abstract Updates in Concatenations

### $\{\mathcal{U}_{\mathsf{Q}}(\mathsf{z}:\approx\mathtt{w})\circ\mathcal{U}_{\mathsf{P}}(\mathtt{x}:\approx\mathtt{y})\}\varphi$